

# WHAT IS THE $i\epsilon$ FOR THE S-MATRIX?

---

Sebastian Mizera (IAS Princeton)

based on **hep-th/2204.tomorrow** with Hofie Sigríðar Hannesdóttir

There's a long history in understanding  
imprints of *causality* on scattering amplitudes  
(microcausality, macrocausality, Bogoliubov causality,  
no Shapiro time advances, ...)

[Bogoliubov, Schutzer, Tiomno, van Kampen, Gell-Mann, Goldberger, Thirring, Wanders, Iagolnitzer, Eden, Landshoff, Peres, Branson, Omnes, Chandler, Pham, Stapp, Adams, Arkani-Hamed, Dubovsky, Grinstein, O'Connell, Wise, Giddings, Porto, Camanho, Edelstein, Maldacena, Zhiboedov, Tomboulis, Minwalla, ...]

Although never made precise, it is generally believed that causality  
is encoded in *complex-analytic* properties of the S-matrix

So natural, we no longer consider complexification strange

Multiple practical reasons:

- Theory of complex angular momenta, dispersion relations, on-shell recursion relations, ...

- Crossing symmetry

$$\underbrace{e^+ e^- \rightarrow \gamma \gamma}_{s > 0}$$

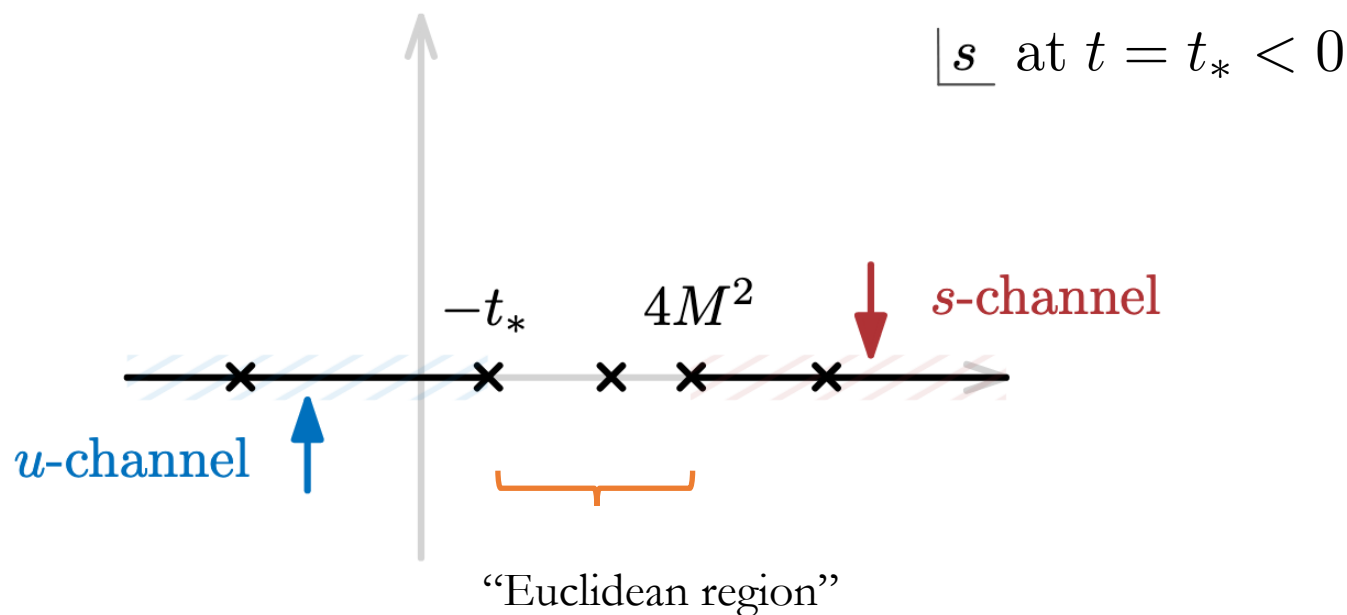
$$s > 0$$

$$\underbrace{\gamma e^- \rightarrow \gamma e^-}_{s < 0}$$

$$s < 0$$

can we get it “for free”?

Analyticity is best understood for  $2 \rightarrow 2$  scattering of the lightest state in theories with a mass gap  $M$  for low momentum transfer:



$$\mathcal{S}(s, t_*) = \lim_{\varepsilon \rightarrow 0^+} \mathcal{S}_{\mathbb{C}}(s + i\varepsilon, t_*)$$

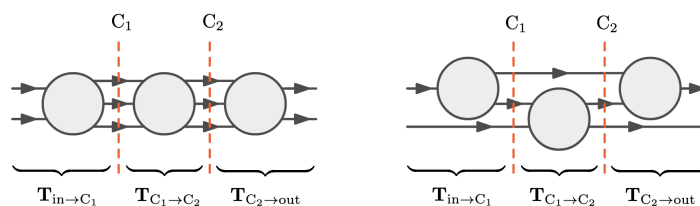
How does this picture extend to more realistic processes?

Standard Model  $\supset$  massless and unstable particles,  
UV/IR divergences,  
higher-point processes

# Outline

- Unitarity constraints

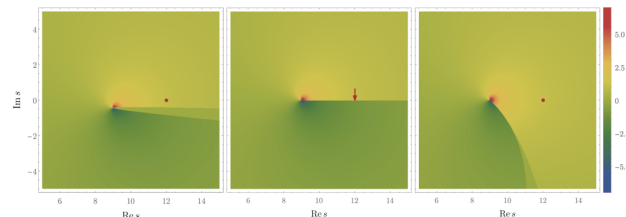
Holomorphic  
cutting rules



Discontinuities  
beyond normal  
thresholds

- Causality constraints

Different ways of  
implementing causality



Deforming branch cuts  
in the kinematic space

## Notation:

- S-matrix operator:  $S = \mathbb{1} + iT$

matrix elements  $\mathbf{T} = \langle \text{out} | T | \text{in} \rangle$

- For  $2 \rightarrow 2$  scattering

$$s = (p_1 + p_2)^2 \quad t = (p_2 + p_3)^2 \quad u = (p_1 + p_3)^2$$


- Subject to momentum conservation

$$\underline{s} + \underline{t} + u = \sum_{i=1}^4 M_i^2$$

Unitarity,  $SS^\dagger = \mathbb{1}$ , implies that

$$\frac{1}{2i}(T - T^\dagger) = \frac{1}{2}TT^\dagger$$

Sum-integral over all  
the intermediate states




The RHS is non-holomorphic and doesn't manifest all singularities

Eliminate  $T^\dagger = T(\mathbb{1} + iT)^{-1}$  and expand the geometric series



This results in *holomorphic cutting rules*

$$\frac{1}{2i}(T - T^\dagger) = -\frac{1}{2} \sum_{c=1}^{\infty} (-iT)^{c+1}$$

 number of unitarity cuts

- The place where a new term on the RHS starts contributing is called a *threshold*: a potentially violent event that could give rise to *singularities* or *branch cuts*
- The phase-space is so small, it only allows for *classical* scattering configurations

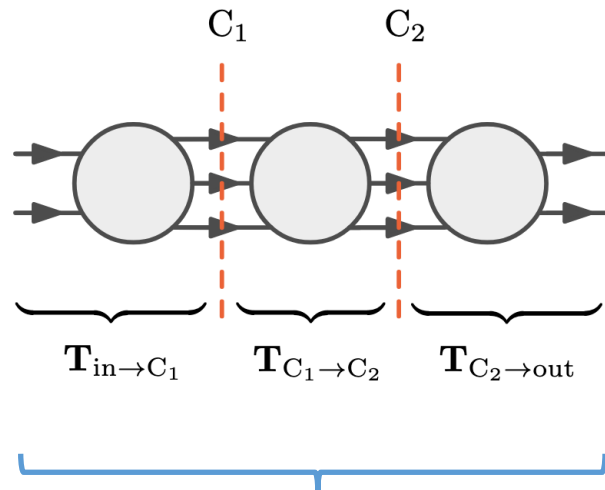
[Coleman, Norton]

## Diagrammatically

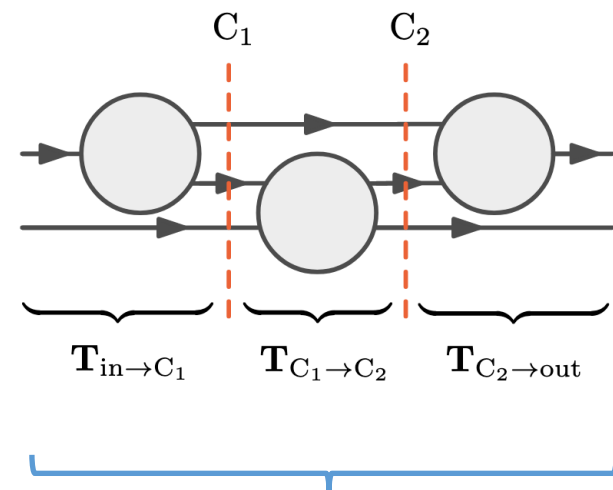
$$\frac{1}{2i} \left( \text{Diagram 1} - \overline{\text{Diagram 1}} \right) = \frac{1}{2} \text{Diagram 2} - \frac{i}{2} \text{Diagram 3} - \frac{i}{2} \text{Diagram 4} + \dots$$

Putting propagators on shell:  $\frac{-1}{q^2 - m^2 + i\varepsilon} \rightarrow 2\pi\delta^+(q^2 - m^2)$

There are two types of thresholds on the RHS:

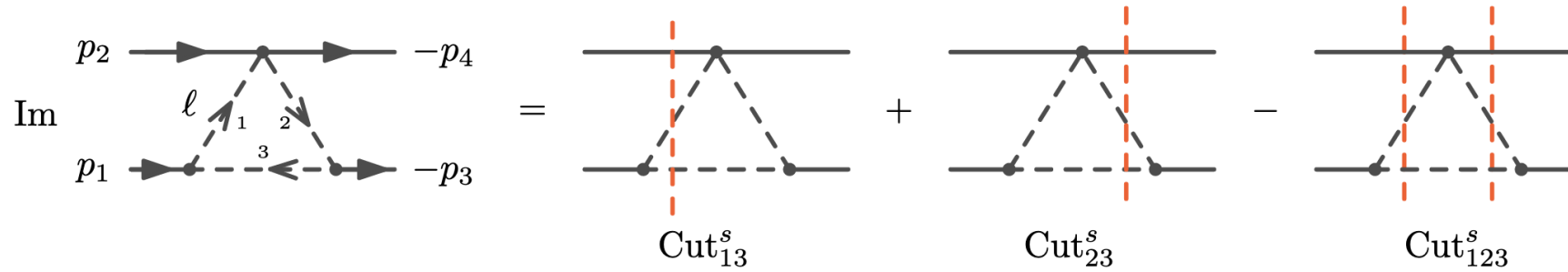


(purely temporal)



(spatially spread out)

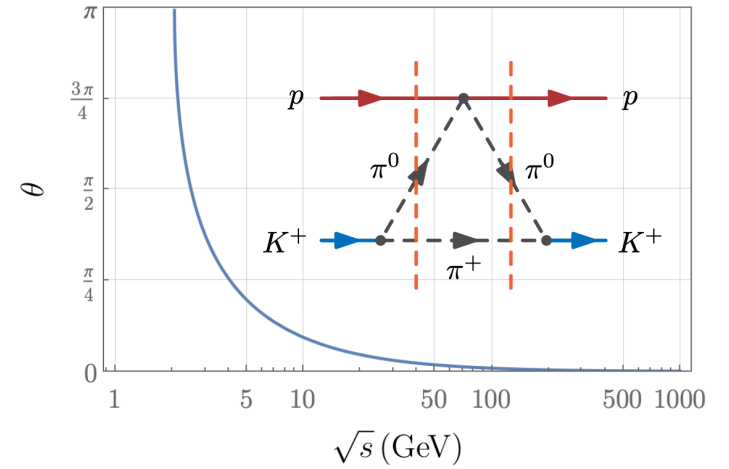
Simplest example:



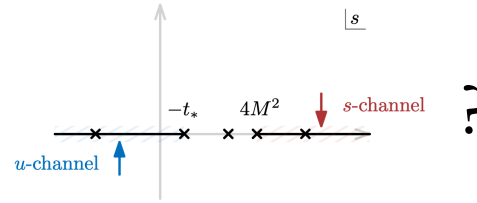
When can we build a triangle diagram with 3 momenta?

$$\cos \theta = 1 - \frac{2s (m_{K^+}^2 - (m_{\pi^0} + m_{\pi^+})^2) (m_{K^+}^2 - (m_{\pi^0} - m_{\pi^+})^2)}{m_{\pi^+}^2 (s - (m_{K^+} + m_p)^2) (s - (m_{K^+} - m_p)^2)}$$

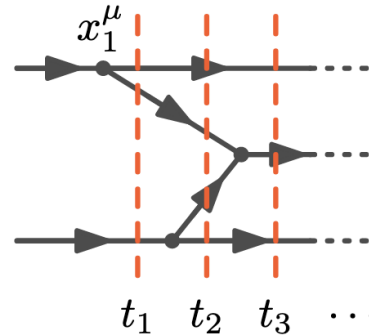
- Widths move the peak to a complex plane: Breit-Wigner-like distribution
- Heavily suppressed compared to tree-level processes



How is this consistent with



At a threshold, we can time order the interaction vertices:



But if all external particles are stable, we must have *at least*

2 incoming particles interacting at the same vertex:

for  $2 \rightarrow 2$  this implies only normal thresholds for physical kinematics

$$s = (m_1 + m_2 + \dots)^2$$

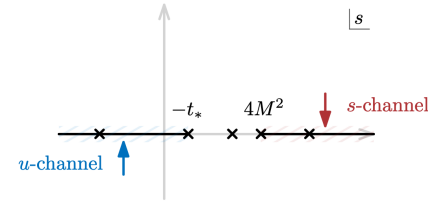
We need to worry about anomalous thresholds for

- Higher-point scattering
- $2 \rightarrow 2$  processes with unstable particles
  - Discontinuities of amplitudes
- Branch cuts in analytic expressions

Recent pheno-oriented work includes hadron spectroscopy,  
 $b\bar{b}H$  production,  $ZZ \rightarrow ZZ$  scattering, ...

[Liu, Oka, Zhao, Meissner, Guo, Denner, Dittmaier, Hahn, Boudjema, Ninh, Passarino, ...]

It is reasonable to ask how much of the



intuition survives

In particular:

- Can we always uplift the S-matrix to a complex-analytic function in a way consistent with causality?

$$\mathbf{T}(s, t_*) \stackrel{?}{=} \lim_{\varepsilon \rightarrow 0^+} \mathbf{T}_{\mathbb{C}}(s + i\varepsilon, t_*)$$

- Is the imaginary (absorptive) part

$$\text{Im } \mathbf{T}(s, t_*) = \frac{1}{2i} \left( \mathbf{T}(s, t_*) - \overline{\mathbf{T}(s, t_*)} \right)$$

always equal to the discontinuity

$$\text{Disc}_s \mathbf{T}_{\mathbb{C}}(s, t_*) = \lim_{\varepsilon \rightarrow 0^+} \frac{1}{2i} \left( \mathbf{T}_{\mathbb{C}}(s+i\varepsilon, t_*) - \mathbf{T}_{\mathbb{C}}(s-i\varepsilon, t_*) \right) \quad ?$$



Where do we even start?

Convert into algebraic problems for every Feynman diagram:

We'll explain these conditions on the next slides	{	$\mathcal{V} = 0$	for any $\alpha$ 's	$\Leftrightarrow$	branch cut
		$\partial_{\alpha_e} \mathcal{V} = 0$	for any $\alpha$ 's	$\Leftrightarrow$	branch point
		$\text{Im } \mathcal{V} > 0$	for all $\alpha$ 's	$\Leftrightarrow$	causal branch

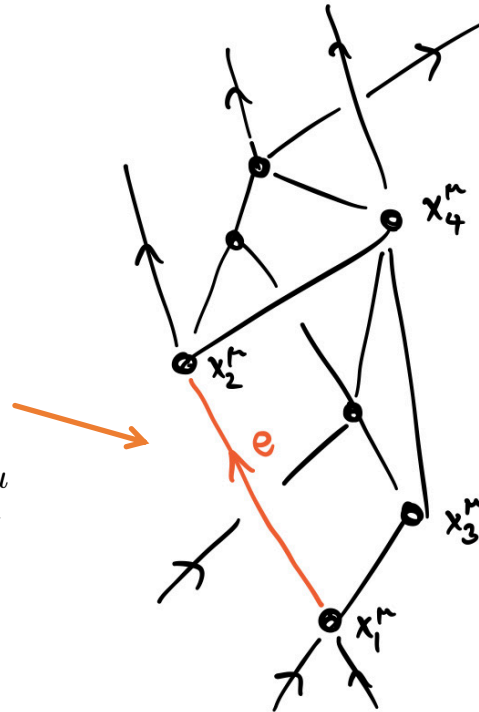
We already know *branch points* are classical scattering configurations:

Momentum  $q_e^\mu$

Mass  $m_e$

Schwinger proper time  $\alpha_e \geq 0$

Space-time displacement  $\Delta x_e^\mu = \alpha_e q_e^\mu$



Momentum conservation at every vertex:

$$\sum_{e \ni v} q_e^\mu + \sum_{i \ni v} p_i^\mu = 0$$

Local interactions at vertices:

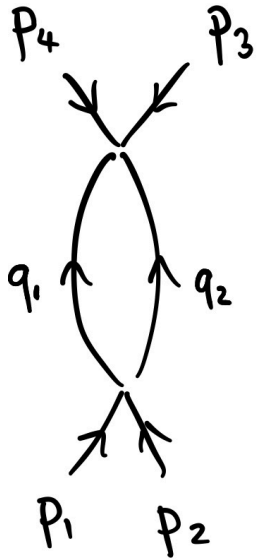
$$x_j^\mu - x_i^\mu = \sum_{e: i \rightarrow j} \Delta x_e^\mu$$

On-shell conditions for every edge:

$$q_e^2 - m_e^2 = 0$$

Landau equations [Bjorken, Landau, Nakanishi]

# Simplest example:



$$s = (p_1 + p_2)^2$$

momentum conservation

$$p_1^\mu + p_2^\mu = q_1^\mu + q_2^\mu = -p_3^\mu - p_4^\mu$$

locality

$$\alpha_1 q_1^\mu = \alpha_2 q_2^\mu$$

on-shellness

$$q_1^2 - m_1^2 = 0$$

$$q_2^2 - m_2^2 = 0$$

$$q_1^\mu = \ell^\mu, \quad q_2^\mu = p_1^\mu + p_2^\mu - \ell^\mu$$

$$\ell^\mu = (p_1^\mu + p_2^\mu) \frac{\alpha_2}{\alpha_1 + \alpha_2}$$

$$s \left( \frac{\alpha_2}{\alpha_1 + \alpha_2} \right)^2 - m_1^2 = 0, \quad s \left( \frac{\alpha_1}{\alpha_1 + \alpha_2} \right)^2 - m_2^2 = 0$$

← Lorentz invariant

Can be concisely summarized as:

$$\left\{ \begin{array}{l} s \left( \frac{\alpha_2}{\alpha_1 + \alpha_2} \right)^2 - m_1^2 = 0, \\ s \left( \frac{\alpha_1}{\alpha_1 + \alpha_2} \right)^2 - m_2^2 = 0 \end{array} \right. \iff \left\{ \begin{array}{l} \mathcal{V} = s \frac{\alpha_1 \alpha_2}{\alpha_1 + \alpha_2} - m_1^2 \alpha_1 - m_2^2 \alpha_2 \\ \partial_{\alpha_1} \mathcal{V} = 0 \\ \partial_{\alpha_2} \mathcal{V} = 0 \end{array} \right.$$

The solutions are

$$(\alpha_1 : \alpha_2) = \left( \frac{1}{m_1} : \pm \frac{1}{m_2} \right)$$

$$s = (m_1 \pm m_2)^2$$

Note projective invariance in Schwinger parameters and kinematic variables separately

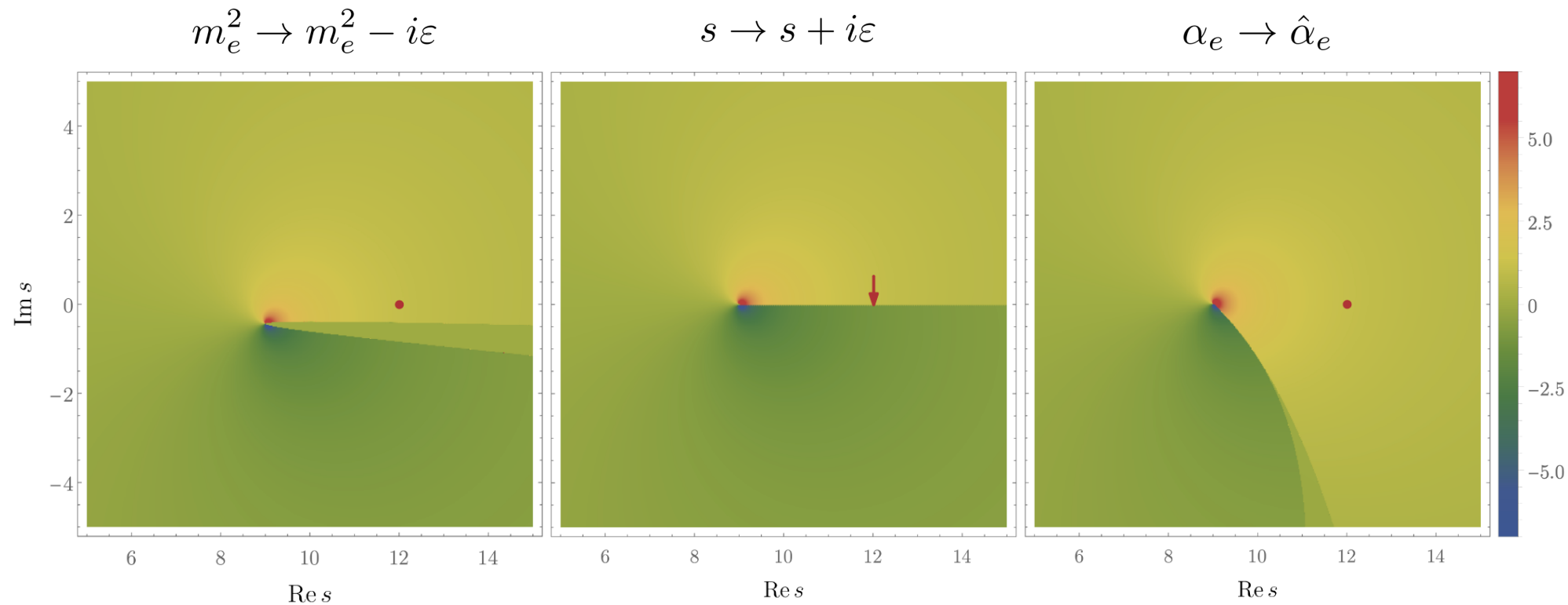
+ normal threshold  
- pseudo-normal threshold

In practice, Schwinger parametrization of the bubble integral gives:

$$\int_0^\infty \frac{d\alpha_1 d\alpha_2}{\mathcal{V}^{2-D/2}} \delta(\alpha_1 + \alpha_2 - 1) \quad \text{with} \quad \mathcal{V} = s \frac{\alpha_1 \alpha_2}{\alpha_1 + \alpha_2} - m_1^2 \alpha_1 - m_2^2 \alpha_2$$

- When  $\mathcal{V} = 0$ , we have to make a decision how to deform away from it (branch cut)
- Causal branch determined by  $\text{Im } \mathcal{V} > 0$

There are three options for implementing  $\text{Im } \mathcal{V} > 0$  ( $\mathcal{V} = s \frac{\alpha_1 \alpha_2}{\alpha_1 + \alpha_2} - m_1^2 \alpha_1 - m_2^2 \alpha_2$ ):



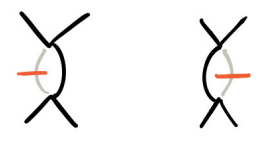
Feynman  $i\varepsilon$       Kinematic  $s+i\varepsilon$       Branch cut deformations  
(moves branch points, unphysical)      (doesn't work in general)

This structure is not a coincidence!  
 For any Feynman diagram we can define the *worldline action*

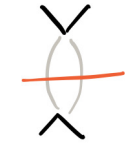
$$\mathcal{V}(\alpha_e; s_{ij}, m_e) = \frac{\mathcal{F}}{\mathcal{U}},$$

where the two *Symanzik polynomials* are given by

$$\mathcal{U} = \sum_{\substack{\text{spanning} \\ \text{trees } T}} \prod_{e \notin T} \alpha_e, \quad \mathcal{F} = \sum_{\substack{\text{spanning} \\ \text{2-trees } T_L \sqcup T_R}} p_L^2 \prod_{e \notin T_L, T_R} \alpha_e - \mathcal{U} \sum_{e=1}^E m_e^2 \alpha_e$$



$\alpha_1 + \alpha_2$



$s, \alpha_1, \alpha_2$

$\Rightarrow \mathcal{V} = s \frac{\alpha_1 \alpha_2}{\alpha_1 + \alpha_2} - m_1^2 \alpha_1 - m_2^2 \alpha_2$

## Summary so far:

Worldline action

Extremizing gives a  
classical saddle point



$$\mathcal{V} = 0 \quad \text{for any } \alpha\text{'s} \quad \Leftrightarrow \quad \text{branch cut}$$

$$\partial_{\alpha_e} \mathcal{V} = 0 \quad \text{for any } \alpha\text{'s} \quad \Leftrightarrow \quad \text{branch point}$$

$$\text{Im } \mathcal{V} > 0 \quad \text{for all } \alpha\text{'s} \quad \Leftrightarrow \quad \text{causal branch}$$

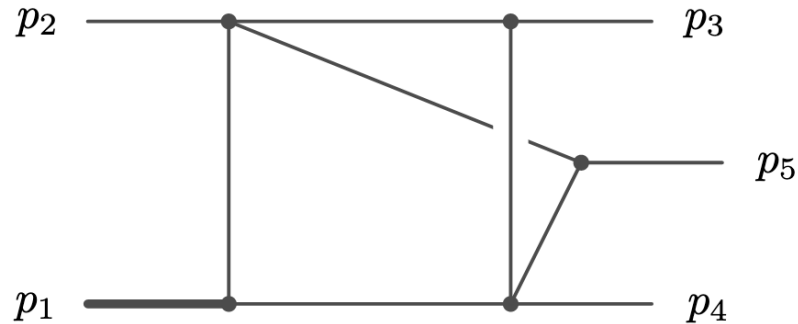
How to implement consistently?

Analytic properties can be studied without explicit computations



Nowadays we have powerful algebraic geometry tools  
to address such questions

[SM, Telen '21]



## Two-Loop Hexa-Box Integrals for Non-Planar Five-Point One-Mass Processes

Samuel Abreu,<sup>1,2,3</sup> Harald Ita,<sup>4</sup> Ben Page,<sup>1</sup> Wladimir Tschernow<sup>4</sup>

<sup>1</sup>Theoretical Physics Department, CERN, Geneva, Switzerland

<sup>2</sup>Mani L. Bhaumik Institute for Theoretical Physics, Department of Physics and Astronomy,  
UCLA, Los Angeles, CA 90095, USA

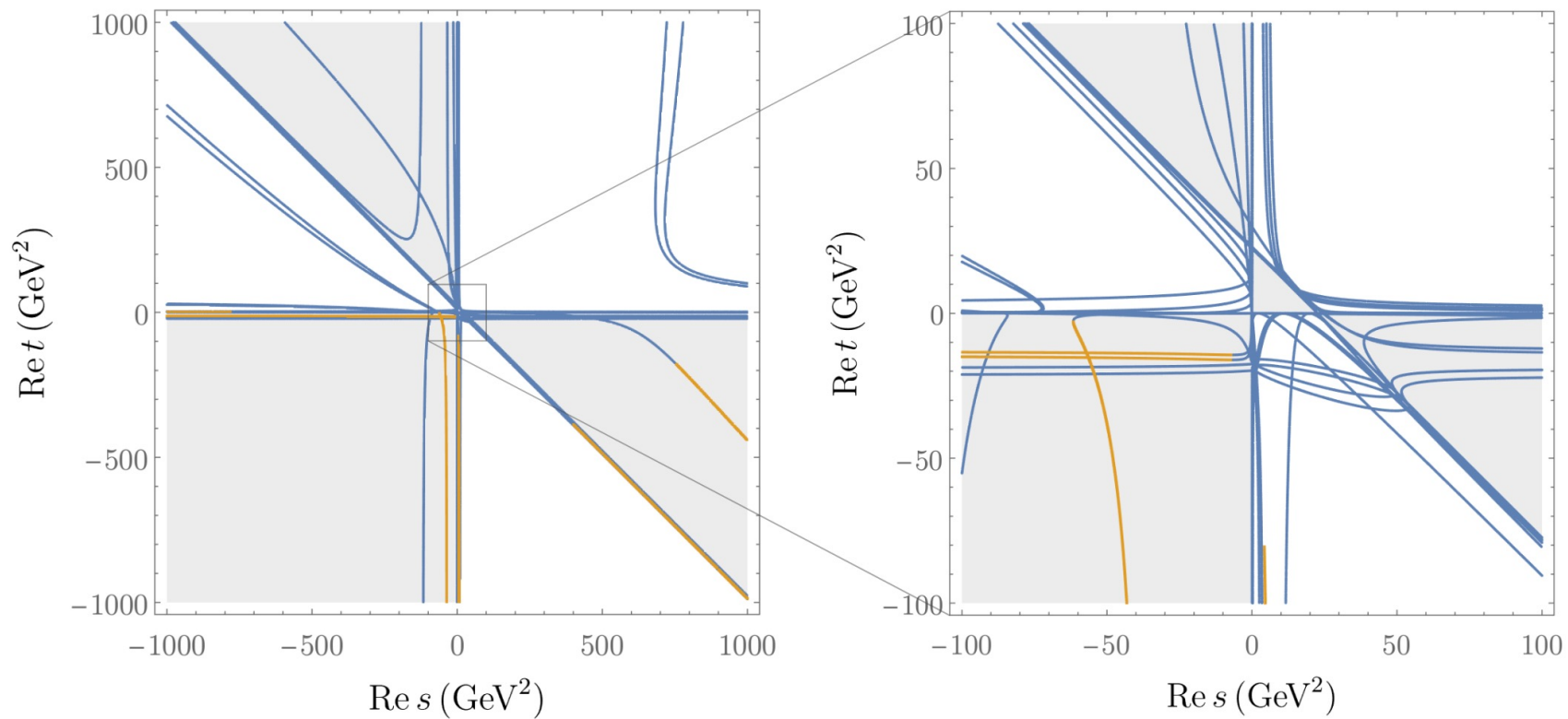
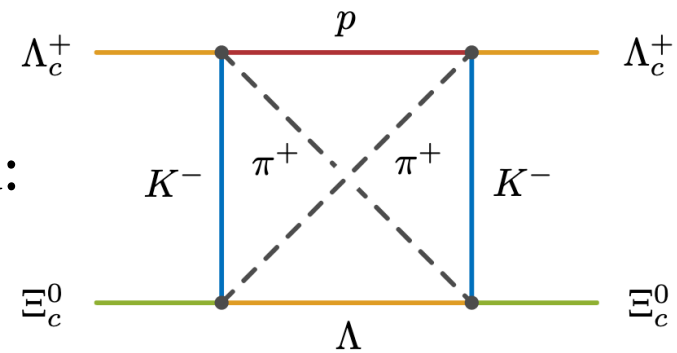
<sup>3</sup>Higgs Centre for Theoretical Physics, School of Physics and Astronomy,  
The University of Edinburgh, Edinburgh EH9 3FD, Scotland, UK

<sup>4</sup>Physikalisches Institut, Albert-Ludwigs-Universität Freiburg,  
D-79104 Freiburg, Germany

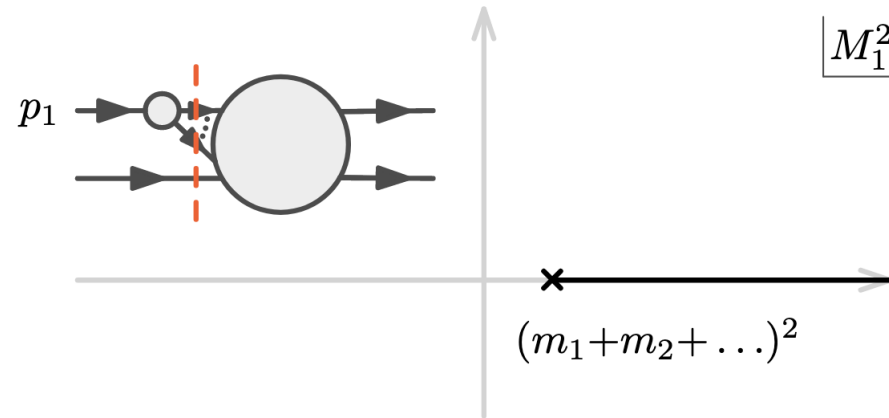
$$\Sigma_5 = (s_{12}s_{15} - s_{12}s_{23} - s_{15}s_{45} + s_{34}s_{45} + s_{23}s_{34})^2 - 4s_{23}s_{34}s_{45}(s_{34} - s_{12} - s_{15})$$

[hep-ph/2107.14180]

They very quickly get out of hand:



Why couldn't we just use  $s + i\varepsilon$ ? First sign of problems:



Off-shell:  
branch cut between

$$M_1^2 \pm i\varepsilon$$

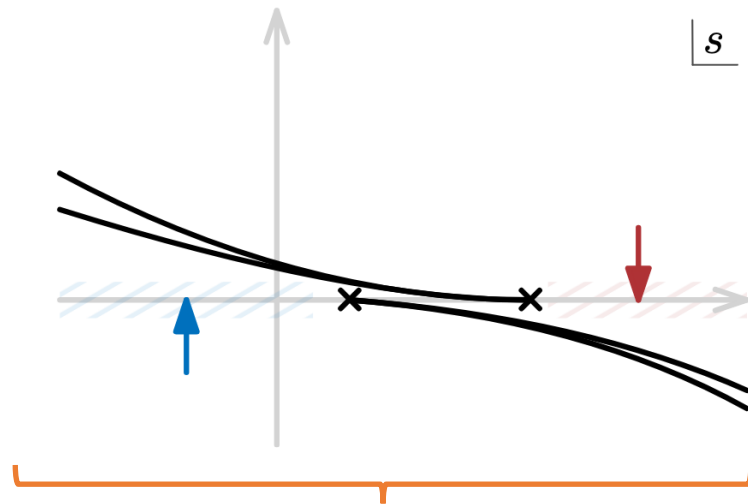
By momentum conservation

$$(s \mp i\varepsilon) + t + u = \sum_{i=1}^4 M_i^2$$

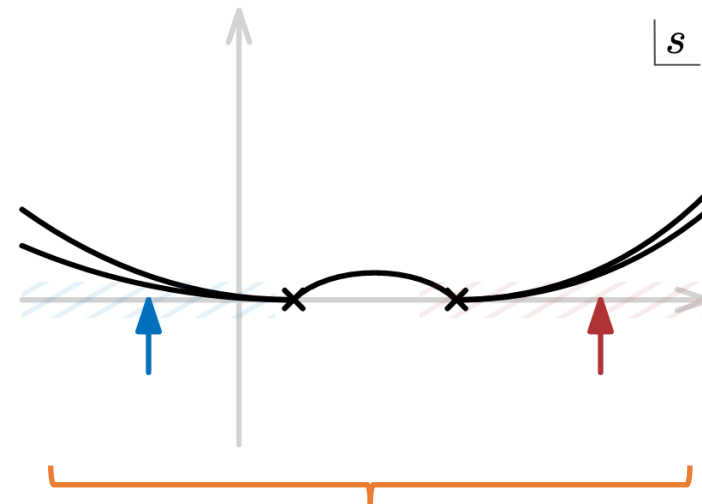
On-shell:  
branch cut between

$$s \mp i\varepsilon$$

Once we encounter a branch cuts for all  $s$ , there are two possibilities:



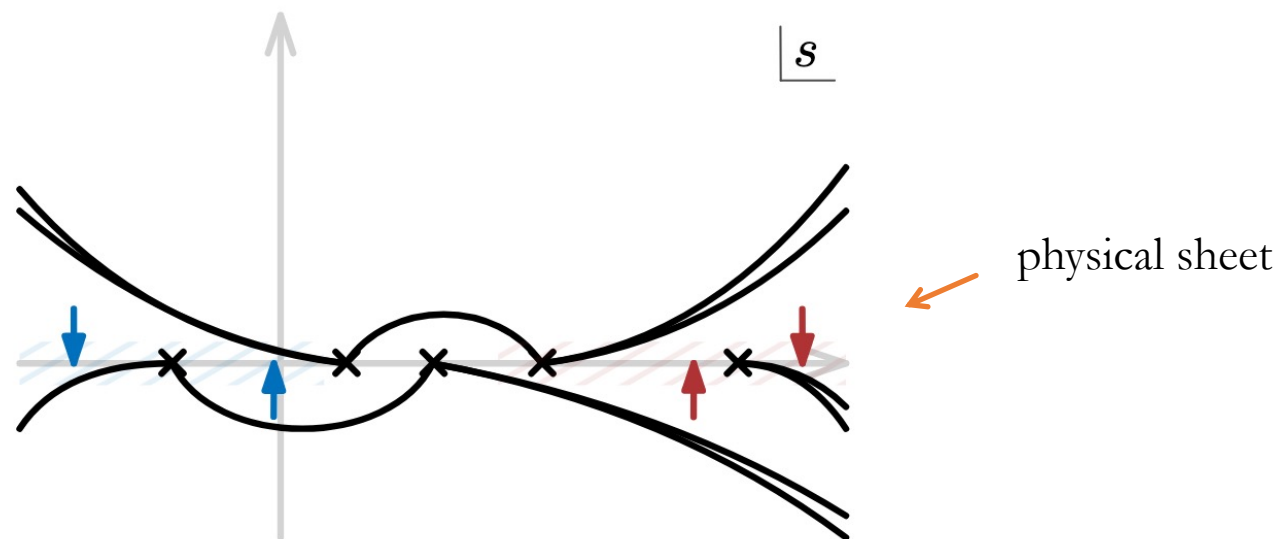
Can connect upper- and lower-half planes



Cannot connect  
(two distinct analytic functions)

There's no unique way to approach physical regions!

We are forced to perform branch cut deformations:

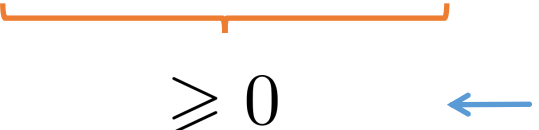


Causality: giving worldlines a small phase [SM '21]

$$\begin{aligned}\alpha_e &\rightarrow \alpha_e \exp(i\varepsilon \partial_{\alpha_e} \mathcal{V}) \\ &= \alpha_e (1 + i\varepsilon \partial_{\alpha_e} \mathcal{V} + \dots)\end{aligned}$$

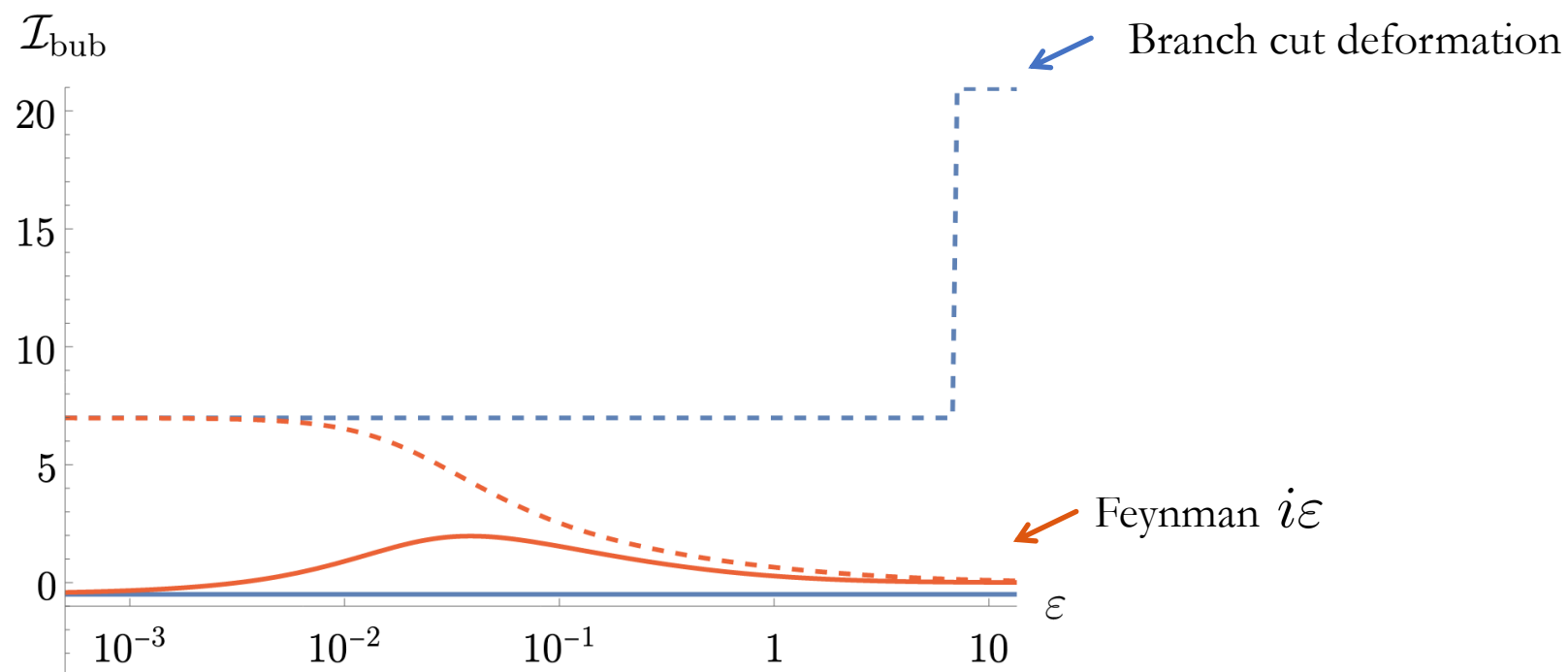
At the level of the action:

$$\mathcal{V} \rightarrow \mathcal{V} + i\varepsilon \sum_{e=1}^E \alpha_e (\partial_{\alpha_e} \mathcal{V})^2 + \dots$$


$$\geq 0$$

Breaks down directly  
at the branch points

In practice, we only need a *sufficiently small*  $\varepsilon$   
(as opposed to infinitesimal)



Using this technique, one can show two general results:

- $2 \rightarrow 2$  scattering with no unstable external particles:

$$\mathbf{T}(s, t_*) = \lim_{\varepsilon \rightarrow 0^+} \mathbf{T}_{\mathbb{C}}(s + i\varepsilon, t_*) \qquad \text{Im } \mathbf{T} = \text{Disc}_s \mathbf{T}_{\mathbb{C}}$$

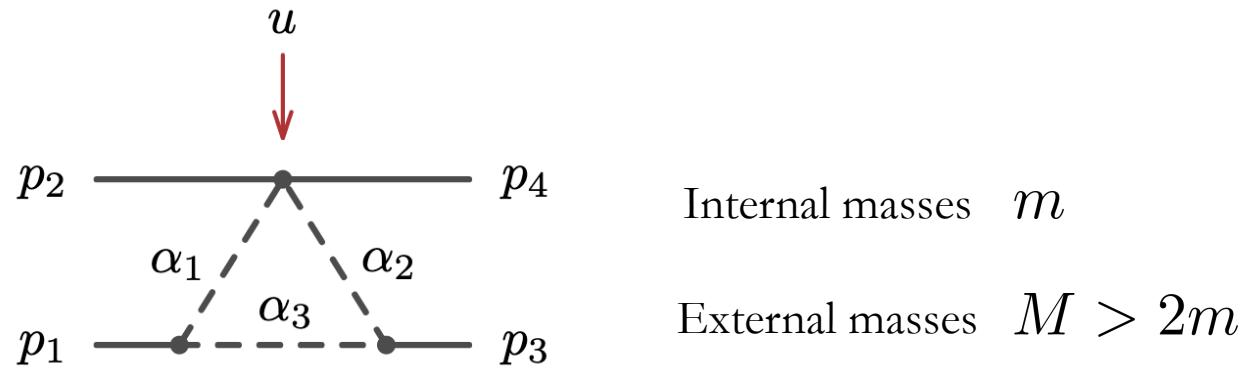
(previously only established when the Euclidean region exists)

- $2 \rightarrow 2$  scattering with unstable external particles:

$$\mathbf{T}(s, t_*) \neq \lim_{\varepsilon \rightarrow 0^+} \mathbf{T}_{\mathbb{C}}(s \pm i\varepsilon, t_*) \qquad \text{Im } \mathbf{T} \neq \text{Disc}_s \mathbf{T}_{\mathbb{C}}$$

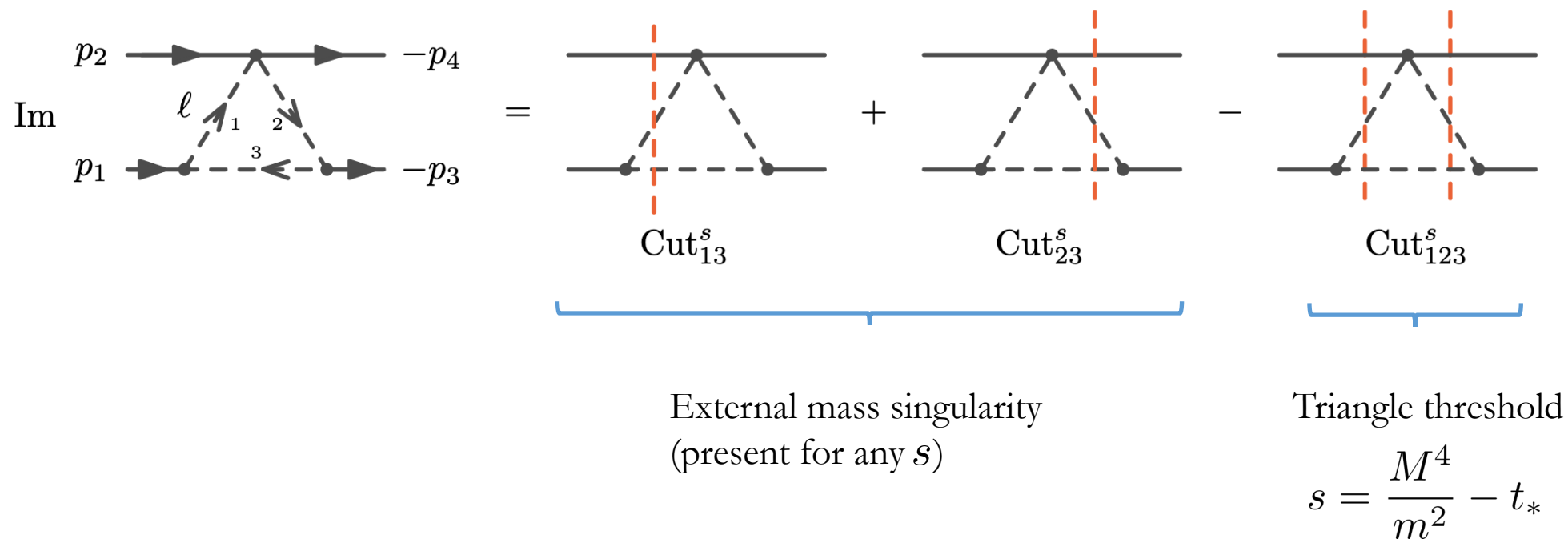


The simplest example:

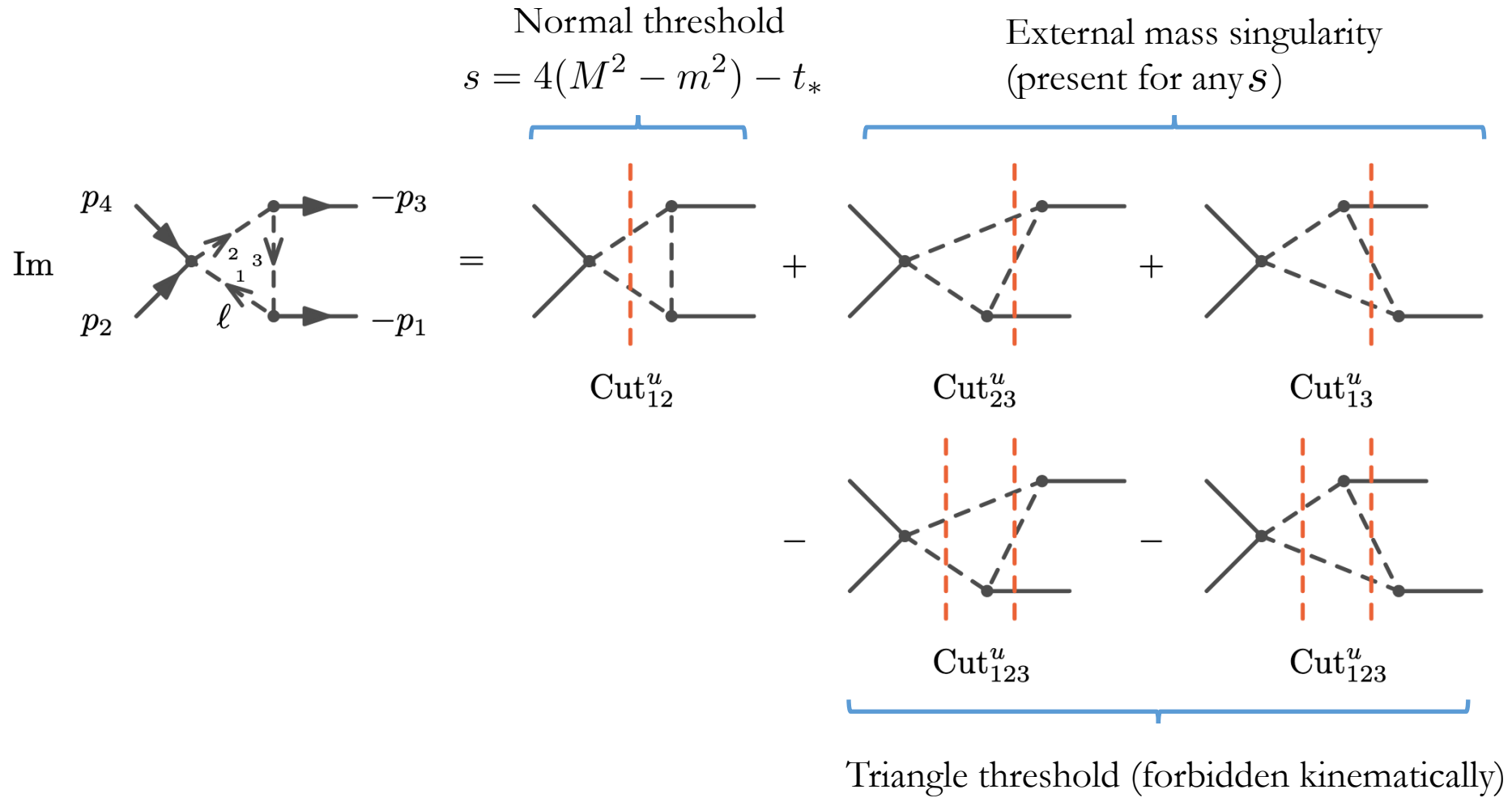


Action: 
$$\mathcal{V} = \frac{u\alpha_1\alpha_2 + M^2\alpha_3(\alpha_1 + \alpha_2)}{\alpha_1 + \alpha_2 + \alpha_3} - m^2(\alpha_1 + \alpha_2 + \alpha_3)$$

## Unitarity in the s-channel:



# Unitarity in the u-channel:



Two distinct analytic functions in the UHP and LHP:

$$\begin{aligned} \mathcal{I}_{\text{tri}}^{\text{UHP}}(s, t) = & \frac{z}{4M^2\beta_z} \sum_{\zeta \in \{-1, 1\}} \left\{ \zeta \text{Li}_2 \left( \frac{1 + \frac{z}{2} - \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) + \zeta \text{Li}_2 \left( 1 - \frac{1 + \frac{z}{2} + \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) \right. \\ & + 2 \text{Li}_2 \left( \frac{1 + \beta_z}{1 + \zeta\beta_z\beta_{yz}} \right) - 2 \text{Li}_2 \left( \frac{1 - \beta_z}{1 + \zeta\beta_z\beta_{yz}} \right) + 2\pi i \log \left( \frac{1 + \beta_z}{1 + \beta_z\beta_{yz}} \right) \\ & \left. + \zeta \log \left( \frac{1 + \frac{z}{2} + \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) \left[ -\pi i + \log \left( -1 + \frac{1 + \frac{z}{2} + \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) \right] \right\}, \end{aligned}$$

$$\begin{aligned} \mathcal{I}_{\text{tri}}^{\text{LHP}}(s, t) = & \frac{z}{4M^2\beta_z} \sum_{\zeta \in \{-1, 1\}} \left\{ \zeta \text{Li}_2 \left( \frac{1 + \frac{z}{2} - \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) + \zeta \text{Li}_2 \left( 1 - \frac{1 + \frac{z}{2} + \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) \right. \\ & + 2 \text{Li}_2 \left( \frac{1 + \beta_z}{1 + \zeta\beta_z\beta_{yz}} \right) - 2 \text{Li}_2 \left( \frac{1 - \beta_z}{1 + \zeta\beta_z\beta_{yz}} \right) - 2\pi i \log \left( \frac{1 + \beta_z}{1 + \beta_z\beta_{yz}} \right) \\ & \left. + \zeta \log \left( \frac{1 + \frac{z}{2} + \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) \left[ \pi i + \log \left( -1 + \frac{1 + \frac{z}{2} + \zeta\beta_z}{1 + \frac{z}{2} + \zeta\beta_y\beta_z} \right) \right] \right\}. \end{aligned}$$

where

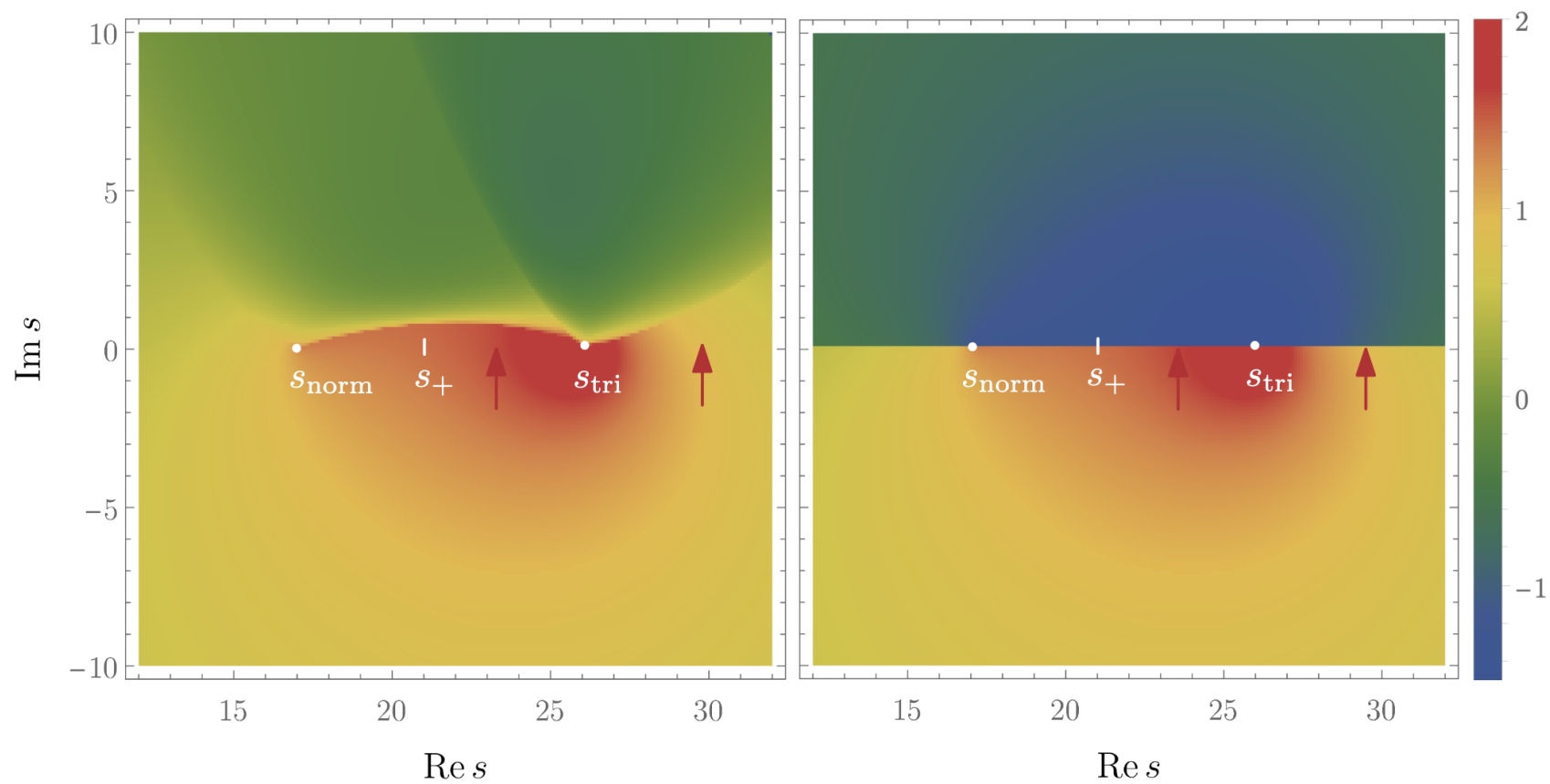
$$\begin{aligned} y = -\frac{4m^2}{u}, \quad z = -\frac{4M^2}{u}, \\ \beta_y = \sqrt{1+y}, \quad \beta_z = \sqrt{1+z}, \quad \beta_{yz} = -i\sqrt{-1 + \frac{4y}{z}}. \end{aligned}$$

Causality requires  $\text{Im}\mathcal{V} > 0$  ( $\mathcal{V} = \frac{u\alpha_1\alpha_2 + M^2\alpha_3(\alpha_1 + \alpha_2)}{\alpha_1 + \alpha_2 + \alpha_3} - m^2(\alpha_1 + \alpha_2 + \alpha_3)$ ):

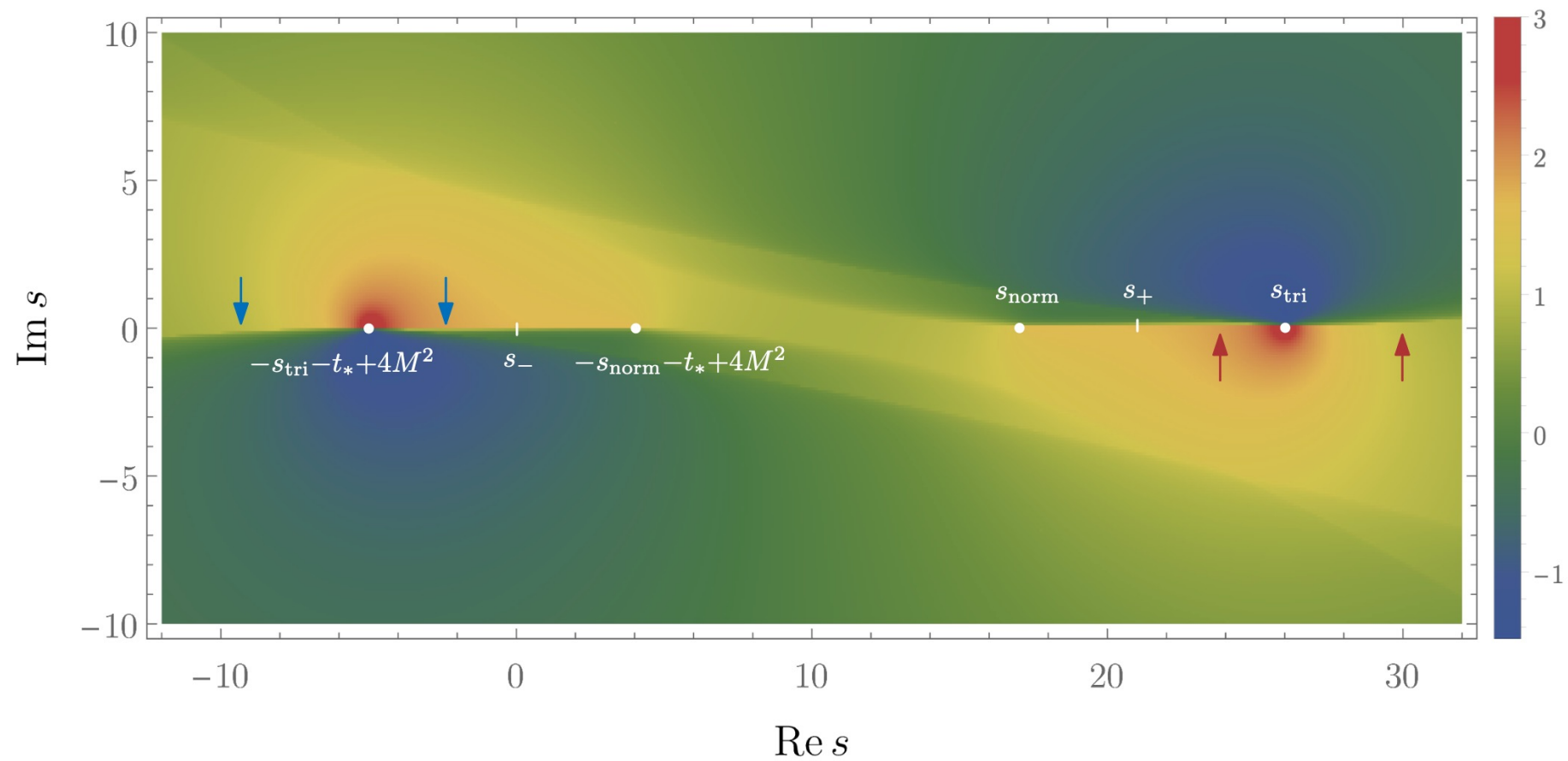
$$\begin{aligned}\text{Im } \mathcal{V} &= \text{Im } u \frac{\alpha_1\alpha_2}{\alpha_1 + \alpha_2 + \alpha_3} \\ &= -\underbrace{\text{Im } s}_{< 0} \underbrace{\frac{\alpha_1\alpha_2}{\alpha_1 + \alpha_2 + \alpha_3}}_{> 0} > 0\end{aligned}$$

Approach both s- and u-channel physical regions from LHP

Comparing numerical and analytic expressions:



Finally, summing over multiple Feynman diagrams



Many open questions, for example:

- How big of a mistake we'd make by always approaching the s-channel from the UHP?

$$\propto \left(\frac{\Gamma}{M}\right)^{\#}$$

- Effect on practical Standard Model computations?

$$\text{e.g., } ZZ \rightarrow ZZ$$

- What is the analogue for  $2 \rightarrow 3$  scattering?



[If there's time]

Since singularities are already determined by saddle points

$$\alpha_e = \alpha_e^*, \quad \Delta(s, t, M, m) = 0$$

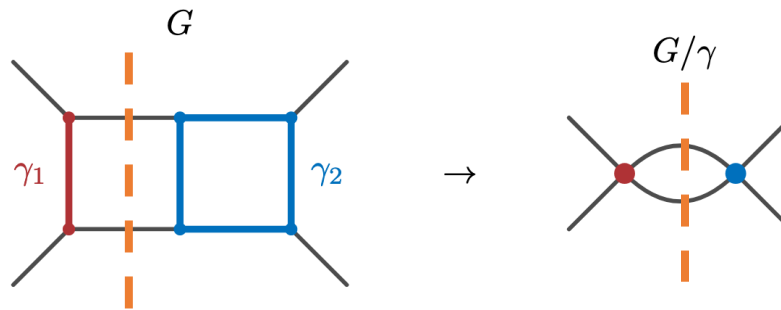
Why don't we just study fluctuations around such saddles:

$$\alpha_e = \alpha_e^* + \delta\alpha_e + \dots, \quad \Delta(s, t, M, m) = 0 + \delta\Delta + \dots$$



Local behavior around the threshold

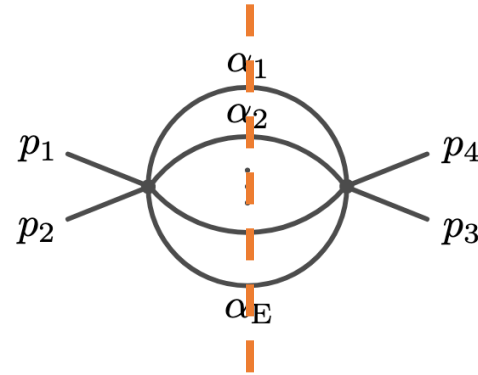
So far limited to isolated and non-degenerate saddles  
(excludes massless Feynman integrals)



$$\mathcal{I}_G \approx \# \prod_i \mathcal{I}_{\gamma_i}^* \begin{cases} \Delta^\rho & \text{if } \rho < 0 \\ \log \Delta & \text{if } \rho = 0 \end{cases}$$

where 
$$\rho = \frac{L_{G/\gamma} D - E_{G/\gamma} - 2d_{\mathcal{N}_{G/\gamma}} - 1}{2}$$

For example, near every normal threshold



$$\mathcal{I}_G \approx \# \mathcal{I}_{\gamma_L}^* \mathcal{I}_{\gamma_R}^* \begin{cases} \Gamma(-\rho) \left[ \left( \sum_{e=1}^E m_e \right)^2 - s \right]^\rho & \text{if } D < \frac{E+1}{E-1}, \\ -\log \left[ \left( \sum_{e=1}^E m_e \right)^2 - s \right] & \text{if } D = \frac{E+1}{E-1}, \end{cases}$$

$$\text{where } \rho = \frac{(E-1)D - E - 1}{2}$$

Naively,  $\Delta^\rho$  would suggest that the S-matrix  
can have arbitrarily-singular behavior...

We're rescued if we assume analyticity  
(at most codim-1 singularities):  $E_{G/\gamma} - L_{G/\gamma}D \leq 1$

$$\rho = \frac{L_{G/\gamma}D - E_{G/\gamma} - 2d_{\mathcal{N}_{G/\gamma}} - 1}{2} \geq -1$$

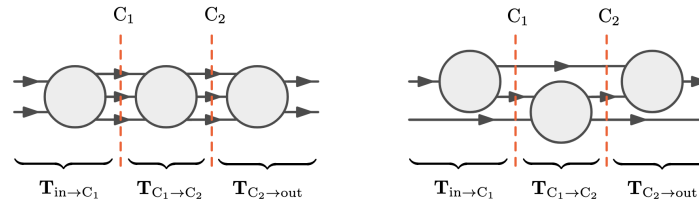
Every 1VI component can only lead to singularities of the type

$$\frac{1}{\Delta}, \quad \frac{1}{\sqrt{\Delta}}, \quad \log \Delta$$

# Summary

- Unitarity constraints

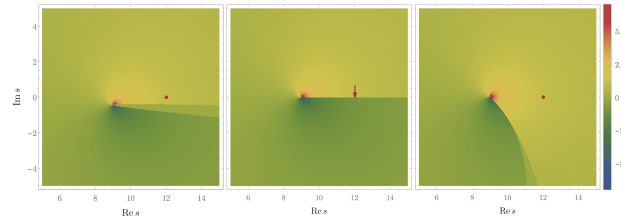
Holomorphic cutting rules



Discontinuities beyond normal thresholds

- Causality constraints

Different ways of implementing causality



Deforming branch cuts in the kinematic space

Thank you